



5th
lecture!
yay!

Plan

Main goal: proof of Bloch conj for surfaces

NOT of general type

(1) Recap on classification of surfaces

(2) The proof

Recap

Bloch
Conj

X sm proj surface w/ $h^0(\Omega^2) = 0$ $\cong: P_g$ geometric
geny

$\Rightarrow CH_0(X)$ is finite dimensional
representable

$alb_x: CH_0(X)_{\text{hom}} \rightarrow Alb_x$ is an iso
(injective)

$\exists C \overset{\text{ample}}{\cong} X$ such that

$$\dot{CH}_0(C) \rightarrow CH_0(X)$$

Recap on classification of surfaces / \mathbb{C}

Classification up to birational equivalences

It's based on the Kodaira dimension.

Lemma

$$\bigoplus_{m \geq 0} H^0(X, K_X^{\otimes m})$$

canonical ring

is a birational invariant
(actually, $H^0(X, \Omega_X^p)$ is bir. inv)

canonical bundle

Sketch of proof

The proof is based on Hartogs theorem. \square

$$X \dashrightarrow \text{Proj} \left(\bigoplus_{m \geq 0} H^0(X, K_X^{\otimes m}) \right) = X_{\text{can}}$$

Def

$$\text{cod}(X) = \dim(X_{\text{can}}) \quad \left(\dim(\emptyset) = -\infty \right)$$

There is another definition:

$$\text{cod}(X) \text{ satisfies } \lim_{m \rightarrow +\infty} \frac{h^0(X, K_X^{\otimes m})}{m^{\text{cod}(X)}} < +\infty$$

$$\text{In particular } \text{cod}(X) \in \left\{ -\infty, 0, 1, \dots, \dim(X) \right\}$$

For X surface, $\text{Kod}(X) \in \{-\infty, 0, 1, 2\}$

Rmk

$H^0(X, \Omega_X^2) = H^0(X, K_X)$ is a birational invariant,

but also $CH_0(X)$ is a birational invariant!

(Sketch of proof:



← all of these
are blow-ups
and blow-downs

⇓
it's enough
to verify the

⇓
it's enough to prove that $CH_0(X)$ is not affected by blow-ups and blow-downs \square) Bloch conj up to bir. eq

$\text{cod}(X) = -\infty$

Thm (Beauville)

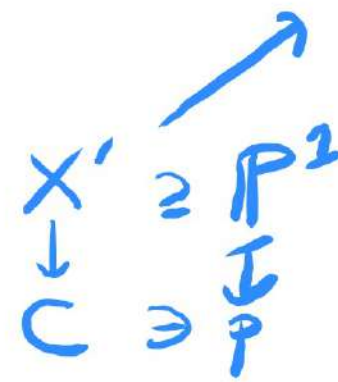
If X has $K\text{-dim} = -\infty \Rightarrow X \leftarrow \dots \rightarrow X'$ birationally ruled

Let us compute $H^0(X', K_{X'})$

Adjunction formula:

$$K_{X'}|_{P^1} = K_{P^1} \otimes \det(N_{P^1}) = \mathcal{O}_{P^1}(-2) \Rightarrow H^0(X', K_{X'}) = 0$$

$N_{P^1} = 0$



\mathbb{P}^1 covers the whole X'

We expect by Bloch conjecture that $CH_0(X')$ is finite dim

$\text{cod}(X) = -\infty$

Thm (Beauville)

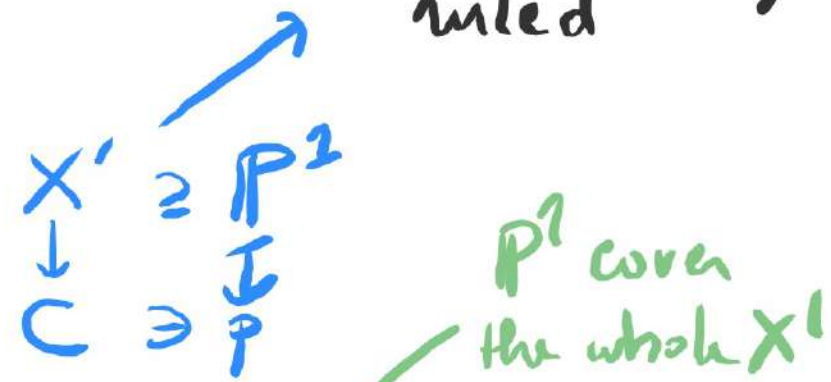
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$N_{P^1} = 0$



We expect by Bloch conjecture that $CH_0(X')$ is finite

Proof of the Bloch Conjecture for $\text{Kud}(X) = -\infty$

$x \in X$ birationally ruled $\Rightarrow x \in \mathbb{P}^1 \subset X$

Consider $D \in X$

very ample divisor \Rightarrow

$D \cap \mathbb{P}^1 \cong \{y\}$, moreover

$x \sim_{\text{rat}} y$ because we are on \mathbb{P}^1

$\text{CH}_0(D) \rightarrow \text{CH}_0(X)$

and we've seen that this is equivalent to finite dimensionality of $\text{CH}_0(X)$. \square

Lemma

If X sm proj surf has $P_g = 0 \Rightarrow \chi(X) = h^2(X, \mathcal{O}_X) \leq 1$

irregularity.

Proof

Claim: $c_2(K_X)^2 = 0$

Given the claim, we can apply Noether's formula:

$$2 - g + \cancel{P_g} = \chi(X) = \frac{c_1(K_X)^2 + c_2(T_X)}{12} = \frac{1}{12} (\chi_{\text{top}}(X))$$

$$\frac{1}{12} \left(\sum (1 - \eta^i) b_i \right) = \frac{1}{12} (2 - 2g + h^{2,2} - 2g + 1)$$

↑ we have $b_i = \sum h^{p,q}$ for $p+q=i$

$$2 - q = \frac{1}{12} (2 - 4q + h^{2,1})$$

$$0 = -12 + 12q + 2 - 4q + h^{2,1} = -10 + 8q + h^{2,1}$$

$$q = \frac{1}{8} (10 - h^{2,1}) < 2 \quad \square$$

Theorem

$$\text{cod}(X)=0 \Rightarrow$$

(i) ~~X abelian surface~~ $(p_g \neq 0, q=2)$ we don't care

(ii) ~~X K3 surface~~ $p_g \neq 0$

(iii) X Enriques
(quotient of a K3 by
an involution which
has no fixed pts)

we should
take care of
only these
2 cases

(iv) $X = E \times F/G$ where:

E elliptic curve, F/G rational curve
 $G \subseteq \text{Aut}(E) \times \text{Aut}(F)$ and acts on E
by translations

Proof of the Bloch conj

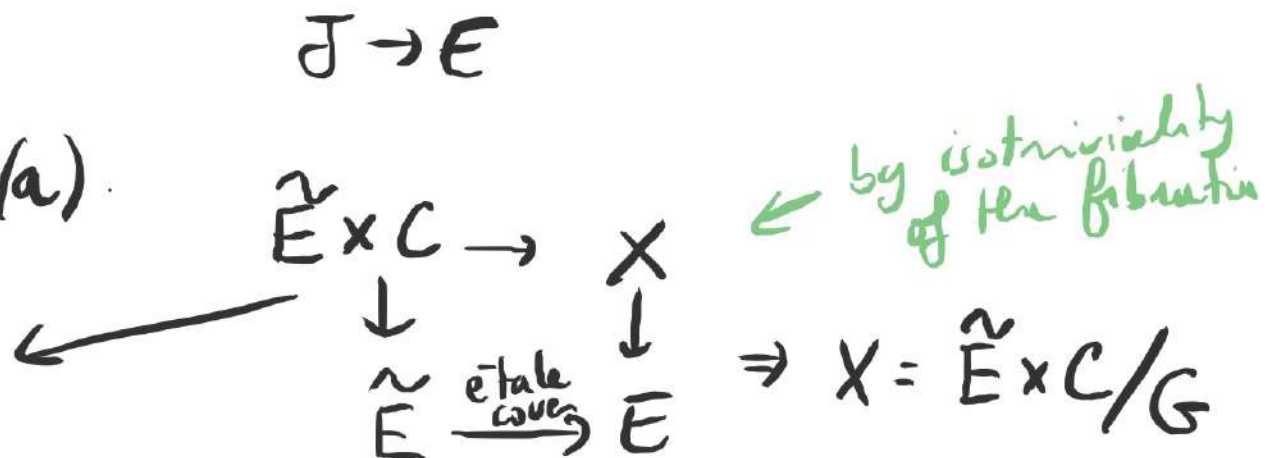
Suppose $g(X) = 1 \Rightarrow$ (a) $\text{Kod}(X) = 0, X = E \times F / G$

(b1) $\text{Kod}(X) = 1, X \rightarrow A/g = E$ isotrivial
 \times w/ fibers of $g > 1$

(b2) $\text{Kod}(X) = 1, X \rightarrow E$ elliptic
 \cong fibration

Claim all these cases can be reduced to case (a).

How to reduce (b1) to (a)?



It is true that $C/G \cong \mathbb{P}^1$? It's enough to show that:

$$H^0(C/G, \Omega_{C/G}) = H^0(C, \Omega_C)^G$$

\Downarrow

Observe that G acts on \tilde{E} by translations, hence

$$H^0(\tilde{E}, \Omega_{\tilde{E}})^G = H^0(\tilde{E}, \Omega_{\tilde{E}})$$

But: $0 = H^0(X, K_X) = H^0(\tilde{E} \times C, \Omega_{\tilde{E}} \otimes \Omega_C)^G$

IF $H^0(C, \Omega_C)^G \neq 0 \Rightarrow H^0(\tilde{E} \times C, \Omega_{\tilde{E}} \otimes \Omega_C) \neq 0$

Hence $H^0(C, \Omega_C)^G = 0 \Rightarrow C/G \cong \mathbb{P}^1$ ← this shows (b) \Rightarrow (a)

How can we reduce (b2) to (a)?

X Suppose to have a section $E \xrightarrow{\sigma} X$
 \downarrow
 E $\Rightarrow X \rightarrow J$

$$x \mapsto \text{alb}_{X_0}([x] - [\sigma])$$

If you don't have a section, consider $\exists C \subseteq X$ such that

$$\begin{array}{ccc}
 X_C & \rightarrow & X \\
 \downarrow & & \downarrow \\
 C & \rightarrow & E
 \end{array}$$

$\exists \sigma$ (green arrow)

Ex: show that if you can prove Bloch conjecture for J_C then it's true for X_C which implies BC for X .

We're in the setup (a) : $X = E \times F / G$ w/ $G \subseteq \text{Aut}(E) \times \text{Aut}(F)$
 finite
 G acts via translations
 on E , $F/G \cong \mathbb{P}^1$

Claim 1 $\text{CH}_0(F)_{\mathbb{Q}}^G \cong \mathbb{Q} \cdot [c]$

Proof $\text{CH}_0(F)_{\mathbb{Q}}^G \cong \text{CH}_0(F/G)_{\mathbb{Q}} \cong \text{CH}_0(\mathbb{P}^1)_{\mathbb{Q}}$

Claim 2 $\text{CH}_0(E)_{\mathbb{Q}}^G \cong \text{CH}_0(E)_{\mathbb{Q}}$

Proof : $G \overset{\text{triv}}{\curvearrowright} \text{CH}_0(E) / \text{CH}_0(E)_{\text{hom}}$ because the latter is $\cong \mathbb{Z}$

$G \overset{\text{triv}}{\curvearrowright} \text{CH}_0(E)_{\text{hom}}$ because $G \overset{\text{triv}}{\curvearrowright} H^0(E, \Omega_E)$

$G \overset{\text{triv}}{\curvearrowright} \text{Alb}_E \cong \text{CH}_0(E)_{\text{hom}}$

$$\Rightarrow \text{let } z \in H_0(E), g^*z = z + \underset{\substack{\uparrow \\ \text{hom. trivial}}}{zg} \Rightarrow g^*g^*z = z + 2zg$$

$$\exists n \text{ st } \underbrace{(g^*)^n}_{=} z = z + n zg \Rightarrow n zg = 0$$

$$z = \text{id}^*z$$

or, in other terms,
the action of G
on $H_0(E)_{\mathbb{Q}}$ is trivial \square

Consider $(e, c) \in E \times F$

$$\rightarrow \sum_{g \in G} (g_1^*e, g_2^*c) = \sum_{g \in G} (e, g_2^*c) = (e, \sum_{g \in G} g_2^*c) = (e, nc_0)$$

\uparrow
 $g_1^* = \text{id}$
up to torsion

this is invariant
w/ G -action
but $F/G = \mathbb{P}^1$
and we know
 $H_0(\mathbb{P}^1)_{\mathbb{Q}} = \mathbb{Q}$
 \uparrow
some fixed $c_0 \in \mathbb{P}^1$

In other terms, we have shown that this is surjective

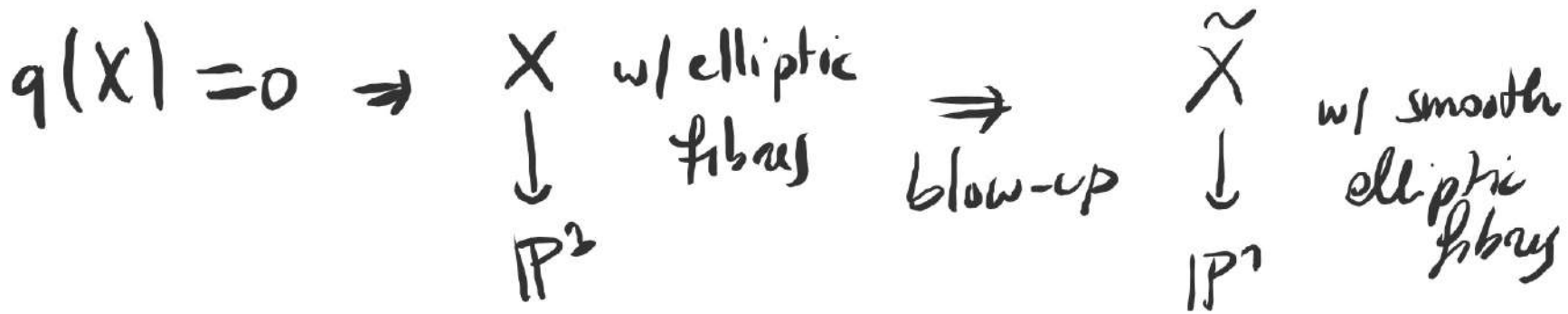
$$\begin{array}{ccc}
 \mathrm{CH}_0(E \times \{c_0\})_{\mathbb{Q}} = \mathrm{CH}_0(E \times \{c_0\})_{\mathbb{Q}}^G & \xrightarrow{\quad} & \mathrm{CH}_0(E \times F)_{\mathbb{Q}}^G \\
 & & \parallel \\
 & & \mathrm{CH}_0(X)_{\mathbb{Q}}
 \end{array}$$

This implies that

$$\mathrm{CH}_0(X)_{\mathrm{hom}} \otimes \mathbb{Q} \xrightarrow{\sim} \mathrm{Alb}_X \otimes \mathbb{Q}$$

By Raitmann's theorem, we know that $\mathrm{CH}_0(X)_{\mathrm{hom}}^{\mathrm{tors}} \xrightarrow{\sim} \mathrm{Alb}_X^{\mathrm{tors}}$

$\Rightarrow \mathrm{CH}_0(X)_{\mathrm{h}} \xrightarrow{\sim} \mathrm{Alb}_X \Rightarrow \mathrm{CH}_0(X)$ finite dim./rep.



we've reduced ourselves to study

consider again

$\tilde{X} \rightarrow J$

$x \mapsto \text{alb}_{x_p}([X] - [G(p)])$

$\Rightarrow H_0(J)_{\mathbb{Q}} \cong H_0(\tilde{X})_{\mathbb{Q}}$
 \Downarrow
 $H_0(J) \cong H_0(\tilde{X})$

$\underbrace{P_2 = P}_{\text{red}}$
 $q(x), p_g(x) = 0$
 $\Rightarrow p_g(J) = 0$
 $q(J) = 0$
 $p_2(J) = 0$

($q=0 \Rightarrow \text{Alb is trivial} \Rightarrow \text{no torsion pts for } H_0$)